



Contract No. **X013451**
NOTICE OF SALE OF FOREST PRODUCTS

Version 5.7.2024

Pursuant to Section 9-0505 of the Environmental Conservation Law, sealed bids for the following forest products located on **Chenango Reforestation Area # 18 (Lincklaen State Forest), Stand(s) D-37**, will be accepted at the NYS Department of Environmental Conservation, Bureau of Procurement and Expenditures, 625 Broadway, 10th Floor, Albany, New York 12233-5025, until **11:00 a.m., Thursday, October 17, 2024**.

SPECIES	DBH (Inches)	NO. OF TREES	ESTIMATED QUANTITY (MBF) (International 1/4" Log Rule)
Norway spruce	10"-22"	1,233	734 Cords more or less
Black cherry	15"-24"	35	7.3 MBF more or less
Red maple	14"	2	0.2 MBF more or less
SAWTIMBER TOTAL		1,270	734 Cords and 7.5 MBF more or less
Hardwood Firewood	9"-14"	34	8 Cords more or less
TOTAL SALE		1,304	7.5 MBF and 742 Cords more or less

*These forest products are being sold from lands which have been third-party certified as being managed using responsible forestry practices and having met the requirements for Forest Certification according to the policies and principles of the Forest Stewardship Council® (FSC®) and the Sustainable Forestry Initiative® (SFI®). The stumpage advertised above may be considered **FSC 100%** under certificate number **BV-FM/COC-002027 (FSC-C002027)**, and **SFI 100% Certified Forest Content** under certificate number **BVC-SFIFM-017898**. In the event the harvest site is selected for auditing while this contract is in effect, the Contractor may be required to meet with the DEC and/or Forest Certification auditors on site during the term of this contract. If required, the Contractor shall be notified a minimum of five (5) business days in advance.*

IMPORTANT SALE NOTES

This is a summary of special terms and requirements of the sale to consider when formulating your bid. Please read the entire bid prospectus for a full description of the points listed below.

- This is a sale of trees damaged by a tornado on July 15, 2024.
- The landings for this sale are located on a winter plowed road.
- This contract requires slash piling and planting 7,500 trees on 9 acres.

I. LOCATION AND MINIMUM BID

This softwood/hardwood stumpage is located in the **Town of Lincklaen in Chenango County**, on approximately **23 acres**. It will be sold to the highest approved bidder. Minimum acceptable bid shall not be less than **\$23,271.00 U.S.**

II. CONTRACT TERM

The Contractor will remove all material from State land and meet all the conditions of the sale contract by **October 15, 2027**. Contract extensions may be granted, at the discretion of the DEC upon written request of Contractor, when extenuating circumstances exist. No extension shall exceed 12 months in length, and the total length of all extensions shall not exceed 24 months. **In order to facilitate approval of extensions prior to contract expiration, requests for extensions, along with all other required documentation, shall be made in writing at least 60 days prior to Contract termination date. Extension requests must be acknowledged on an acceptable form provided by the DEC. To request an acknowledgment form, please contact your local DEC office or visit the DEC public web site http://www.dec.ny.gov/docs/lands_forests_pdf/acknowledgment13.pdf.**

III. NOTIFICATIONS

The Contractor will notify the DEC no less than five (5) business days prior to Contractor's intent to commence harvesting operations and at least five (5) business days prior to starting final cleanup. The Contractor will be required to meet with the DEC prior to commencing these operations. Exception to these requirements may be made only by the DEC.

It will be the Contractor's responsibility to provide the workers actually performing the work with a copy of the NOTICE OF SALE OF FOREST PRODUCTS before work begins. The Contractor shall keep a copy of the NOTICE OF SALE OF FOREST PRODUCTS on site at all times while conducting work under the terms of this Contract.

Upon determination by the DEC that any of the terms in this contract are not being upheld, the Contractor may receive a Notice to Correct by certified mail, return receipt requested. If the required work is not completed within seven (7) calendar days following receipt of such Notice to Correct, unless a good faith effort to do so is demonstrated to the DEC's satisfaction, this contract may be terminated for cause, at the discretion of the DEC.

When active work in the sale area has stopped for 14 or more consecutive calendar days or upon the completion of a stand, payment area, or other portion of the work required in the Notice of Sale, the DEC may determine that work is needed to properly close and stabilize the job site. This may include leveling access trails and/or landing areas, installing best management practices (BMPs) including waterbars, earth berms, or other measures as required in the Notice of Sale. Upon determination by the DEC that such work is necessary, the Contractor will receive a Notice to Correct by certified mail, return receipt requested. If the required work is not completed within seven (7) calendar days following receipt of such Notice to Correct, unless a good faith effort to do so is demonstrated to the DEC's satisfaction, the contract may be terminated for cause, at the DEC's option.

This contract may be terminated or suspended by the DEC if the Contractor abandons the work under this contract; is in violation of any conditions of this contract and permit; fails or refuses to conform with the requirements of this contract; or if at any time the DEC is of the opinion that the Contractor is willfully violating any of the conditions of the contract or executing same in bad faith; or that, the Contractor has failed to promote work in a diligent manner. Upon such default or termination, the DEC shall have the right to proceed to enforce the bond posted by the Contractor in connection with this contract.

A. Firewood Notice

Rules have been implemented regarding firewood transportation into and within New York State. **Effective immediately, the transportation of untreated firewood within New York State for commercial sale is limited to 50 miles or less from the source, as defined in the regulations.** These regulations have been implemented to help prevent the spread of forest insect pests. The full text of the regulations can be found online at: <https://dec.ny.gov/nature/animals-fish-plants/invasive-species/terrestrial/firewood>.

There is also a web page with frequently asked questions about the regulations at: (<https://www.dontmovefirewood.org/resources/dont-move-firewood-2021-new-york-poster/>).

Notice to bidders: If you are the end user of firewood you may required to remit sales tax, per the New York State Department of Taxation and Finance (https://www.tax.ny.gov/pubs_and_bulls/tg_bulletins/st/sales_tax_rate_publications.htm) . The table below lists the jurisdictions that impose a local tax on residential sales of wood for heating, and the applicable tax rate.

Taxing jurisdiction (county names added for clarification)	Tax rate %
Allegany County	4½
Cattaraugus County (outside the following)	3
Olean (city)	3
Salamanca (city)	3
Cayuga County (outside the following)	4
Auburn (city)	4
Chemung County	4
Norwich (city) (<i>Chenango County</i>)	3
Cortland County	4
Erie County	4¾
Franklin County	2
Oneida (city) (<i>Madison County</i>)	2
Niagara County	4
Orleans County	4
Oswego (city) (<i>Oswego County</i>)	4
Rockland County	4
Schenectady County	4
St. Lawrence County (outside the following)	4
Ogdensburg (city)	4
Suffolk County	2½
Tioga County	3
Tompkins County (outside the following)	4

Ithaca (city)	4
Westchester County (outside the following)	4
Mount Vernon (city)	4
New Rochelle (city)	3
Yonkers (city)	4½
New York City	4½

B. Dig Safe NY Notice

It shall also be the Contractor’s responsibility to contact Dig Safe New York for underground facility location prior to commencing work, 1-800-962-7962. The DEC is willing to do the Dig - Safe locations for the Contractor as a service. However, this will require at least 5 business days’ notice in advance, and the Contractor must be ready to start work within 10 days of the stake out.

IV. VOLUME ESTIMATION

The volume in the standing trees was estimated and is based on the International ¼” Kerf Log Rule for sawtimber and the standard 4’ x 4’ x 8’ cord for cordwood.

Marked Thinning Area - Firewood volume was determined by a 10% tree sample using the US Forest Service Composite Cordwood Volume Table. Softwood volume estimates are based on a 100% tree count and a 10% volume estimate using the International 1/4" Log Rule volume tables and the U.S. Forest Service Composite Cordwood Volume Table. Hardwood sawtimber volume estimates are based on a 100% tree sample.

Tornado Impacted Clearcut inside Two-stripe Boundary – All timber volumes for the sale trees inside the Blue two-stripe boundary were calculated based upon BAF 10 sample prism plots of the standing trees, north of the blowdown area.

Additionally, volume deductions were made for visible defects; however, the DEC does not guarantee the estimated tree count, volume or quality of the stumpage advertised.

V. MARKING

Within the Blue painted two-stripe tornado blowdown boundary, all standing and downed trees are included in the sale EXCEPT for the standing broken snags excluded from the sale as described below. In addition, all standing unmarked hardwood trees within 25 feet of the edge of Joe Road shall be left uncut.

The trees for sale outside of the Blue painted two-stripe boundary are marked with Blue paint at breast height (dbh) and on the stump. Hardwood trees tallied for saw timber are marked with a horizontal stripe (—). Merchantable softwoods and trees tallied for firewood are marked with a diagonal slash (/). Trees within skid trails are marked with double diagonal stripes (\\) in Blue paint.

The Contractor shall fell to the ground all marked trees.

Snag Retention – The Contractor shall leave standing at least 44 broken off snags, generally evenly scattered throughout the tornado impacted clearcut area as currently distributed. The snags may be of any species, they shall be at least 14 inches in DBH

and at least 16 feet tall. Snags in excess of the 44 required for retention may be utilized by the Contractor.

Girdling cull trees or any other live trees as an alternative to felling will **not** be permitted.

The sale boundaries are shown on the attached Sale Map. Trees on the sale boundary are marked with Blue painted two-stripes (=), or three-stripes (≡) as shown on the sale map. All boundary trees so marked must be left uncut and are not included in this sale. In addition, the Contractor shall not cross the marked sale boundary with any equipment. The remaining sale area boundaries are delineated by either a State Forest property boundary, stream, road or forest type transition as indicated on attached sale maps.

At the tornado impacted area on Joe Road, trees are blown down on both sides of the State boundary line, east of the sale area. Trees marked with yellow paint along the State Forest boundary delineate the property line. Prior to being blown over, the Norway spruce were growing on State land, to the edge of the State boundary line. See Section **VIII Harvesting** below for specifications on boundary trees.

Payment Area A is the north portion of the sale area and Area B is the south portion as shown on the sale map.

Only those trees which have been marked or otherwise designated to be cut by the DEC shall be cut under the terms of this contract. All trees so marked or designated shall be cut by the Contractor and, in the event any are not cut, they nevertheless shall be paid for under the terms of this contract. Pursuant to §9-1501 and § 71-0703 (6) (b) of the ECL, should any unmarked or otherwise non-designated tree be cut, destroyed or injured, the Contractor may be liable for a penalty of \$250.00 per tree or treble damages or both.

VI. LOG LANDINGS

The Contractor may change the location of landings only with approval from the DEC.

All brush, tree tops or other debris removed for landing construction will be deposited at a site approved by the DEC. All landing construction work shall be to the satisfaction of the DEC.

Any additional site work to improve the landings to accommodate the specific needs of the Contractor will be done at the Contractor's expense and only with the approval of the DEC.

Landings will be kept in a neat condition at all times during the sale. The Contractor shall keep landings free of any garbage, oil cans, or debris. The Contractor shall remove or return to the harvest area unused wood brought to the landing from the harvest area. The Contractor shall prevent rutting on landings which may result in channelized sediment flow off the landing.

At the completion of the sale, the Contractor shall grade and stabilize the landings to the satisfaction of the DEC. If determined by the DEC that it is necessary, the Contractor shall block with earthen mounds, the entrances to skid trails leading into the woods from landing areas at the completion of the sale to the satisfaction of the DEC.

The Contractor shall prevent conditions on the landing which may result in channelized sediment flow off the landing. The Contractor shall take measures to ensure that no water or mud shall flow from the log landing into the road ditches or onto the road.

The Contractor shall not pile or process logs within the travelled way of the road as defined as the road area between the ditch lines. The Contractor shall maintain road ditches so that they are unobstructed from logs or logging debris.

Loading of trucks may be done on the roadside.

The Contractor shall ensure that the road remains open and safe for public travel at all times. Trucks parked in the road may not be left unattended and must be moved, if necessary, to allow other traffic to pass. The Contractor shall use warning signs or traffic cones, and/or a flag person while trucks are being loaded, as needed.

Motorized logging equipment with steel tracks or chains are not allowed on the town roads except when loading or unloading equipment from a trailer. The Contractor is responsible for repairing any damage to town roads caused by such equipment.

The landing on Joe Road has winter access since the road is plowed in the winter.

Use of town roads to access the sale may be restricted due to signage limiting seasonal use and/or truck weight. Contact the Town Highway Superintendent for further information.

VII. ACCESS SYSTEM

The main logging trails have been located on the ground and on the attached map by the DEC. Trees within all main skid trails in marked thinning areas are marked with Blue double slash paint and/or Blue ribbon. Within the tornado impacted area, the main trails are not painted. No additions or changes to skid trail locations will be made without approval of the DEC. The Contractor shall cut and open main skid trails first before beginning harvesting within the sale area.

Within the clearcut area, individual skidder hitches shall be no wider than the skidder.

Stream Crossings

At the location where the trail crosses an intermittent stream in Area A, the Contractor shall construct a temporary log corduroy crossing or install temporary bridge panels. Upon completion of harvesting of all timber beyond that site, the Contractor shall remove the logs and/or bridge panels from the drainage. Installation and removal of the bridge panels or log corduroy shall be performed to the satisfaction of the DEC.

The Contractor shall secure all roads, trails and landings to restrict erosion at all times to the satisfaction of the DEC according to guidelines in the publication New York State Forestry Best Management Practices for Water Quality – BMP Field Guide (BMP Field Guide). The BMP Field Guide may be viewed on-line by going to: https://extapps.dec.ny.gov/docs/lands_forests_pdf/forestrybmp.pdf. The DEC reserves the right to require the Contractor to implement erosion and sedimentation controls at any time,

which includes, but is not limited to, water bars, broad based dips, corduroy, culverts, temporary bridges, straw bales, seeding and mulching.

If soil erosion occurs during harvesting, the Contractor shall install and maintain water bars, broad based dips or other appropriate water control devices at locations determined by the DEC.

The Contractor shall prevent ruts greater than 18 inches in depth on the access system during active timber harvesting. If ruts approach 18 inches in depth the Contractor shall cease harvesting activities in that area and ameliorate the damaged road or trail. The Contractor shall resume harvesting activities only when conditions improve or actions are taken to prevent further rutting. The DEC reserves the right to modify this condition on a case-by-case basis. The Contractor shall level all ruts and secure haul roads to restrict erosion at the completion of the sale to the satisfaction of the DEC.

The Contractor shall prevent ruts on all roads and logging trails that may result in channelized sediment flow.

VIII. HARVESTING

The Contractor is expected to employ directional felling techniques to minimize damage to the remaining stand. The Contractor shall also immediately pull back any tree tops falling within 25 feet of Joe Road.

The Contractor shall fell trees away from private land, designated recreation trails, and water courses whenever possible. If trees accidentally fall over private property boundary lines, across designated recreation trails or into stream channels, the Contractor shall pull back all material immediately.

No harvesting operations in conjunction with this sale shall be allowed on adjacent private lands without permission of the owner. The Contractor shall remove immediately any logging debris falling on adjacent private lands. Any damage to adjacent private lands shall be promptly repaired or compensation paid to the owner at expense of Contractor.

The Contractor shall keep the sale area free of litter including cans, papers and equipment parts at all times.

Active timber harvesting operations and new start-ups will be evaluated through October to determine if skidding and/or forwarding may begin or continue into the early winter season (November 1st to December 31st). Forwarding or skidding will generally not be allowed during November and December unless site conditions are favorable for the continuation of harvesting operations due to good drainage patterns or persistent freezing conditions. Exceptions may be granted by the DEC based upon specific site conditions.

Harvesting operations will be suspended during the month of April and between November 1st and December 15th due to the likelihood of wet conditions. This restriction may be altered by DEC depending on weather conditions. Exceptions must be approved by the DEC. DEC may partially or wholly restrict harvesting operations during periods of wet and/or muddy conditions throughout the contract period.

Harvesting may begin in any Area provided it is in compliance with the payment schedule. Once harvesting has begun in an Area, the harvesting must be completed in that Area before beginning work in a new Area.

The Contractor shall leave stump heights, measured on the uphill side, no greater than the diameter of the stump unless otherwise directed by the DEC.

The Contractor shall not leave trees or tree tops hung up in the sale area and must pull any hung trees or tree tops down immediately.

The DEC reserves the right to restrict the size of the equipment used when, in the judgment of the DEC, said large equipment is causing unacceptable damage to the site and residual stand. The Contractor shall prevent rutting and soil compaction in the general harvest area (excluding the access system) and take the necessary measures to prevent ruts and soil compaction when ground conditions may not support harvesting equipment. Measures may include but are not limited to corduroy, restricting equipment access, utilizing floatation tires or tracks, or depositing tops in front of harvesting equipment.

The Contractor shall cut off close to the stump any saplings two inches or greater in diameter at the stump damaged as a result of timber harvesting activities.

Sale Specific Harvesting Regulations

Skidding is allowed within the tornado impacted clearcut area. If trees are bucked to length on the landing, all unmerchantable top chunks shall be hauled back into the sale area and distributed across the sale area as the harvest progresses. Unmerchantable top chunks shall not accumulate on the landing area during harvesting operations.

In the marked thinning areas, outside of the Blue painted two-stripe, all trees must be forwarded to the landing in log lengths. Forwarders must have a minimum of six wheels.

Forwarders with eight wheels shall utilize at least one set of tracks.

Joe Road Boundary Line – The Norway spruce plantation on Joe Road extended from the roadside eastward to the boundary line. Storm damaged, tipped-over trees are on both sides of the yellow-painted boundary line. The trees are tipped over towards the northeast. Harvesting will progress from the road toward the east boundary. Any standing trees or broken off snags along the boundary line with yellow paint on them shall remain uncut. Prior to cutting any tipped over, eastern most spruce trees or hardwood trees along this line, the Contractor will inspect them for yellow boundary paint on their trunks. The paint is approximately five feet above ground level on the tree trunks, when the trees were standing. Any trees having paint only on one side, facing the sky were located on the adjacent private land and shall remain uncut. Any tipped over tree having yellow paint facing towards the ground was on State land and shall be cut off above the yellow paint mark. The tops shall be hauled back onto State land and may be harvested. The cut off tree stub shall be tipped back in an upright position to mark the boundary line. The Contractor shall clear a strip on State land along the boundary line at least ten feet wide, removing all tree boles, tops and branches extending one foot or higher above the ground. All work shall be to the satisfaction of the DEC.

There is no requirement to utilize the trees down to a specified diameter. However, utilization shall be to not less than a top diameter of 4 inches.

Whole tree harvesting will not be permitted. The Contractor shall limb and top all trees in the woods.

If the Contractor uses a mechanized harvester to cut the spruce, the Contractor shall place tops in the path of the harvester. Forwarders may only travel in the harvester's path and on the main trails unless otherwise approved by the DEC. Alternatively, the tops may be piled in wind rows as the harvest progresses.

The Contractor shall buck all material to length or multiples thereof in the woods.

The Contractor shall lop all softwood tops to a main stem diameter of 5 inches, so that no material greater than 2 inches in diameter extends more than 4 feet above the ground.

No more than 5% of the residual trees greater than 5 inches diameter at breast height within the harvest area may be damaged during the harvest operation, as determined by the DEC. A tree considered to be damaged may include but is not limited to:

1. Damage to the main stem of the residual tree which results in the loss of bark greater than 90 square inches.
2. Greater than 40% damage to the entire root system of the residual tree from soil compaction or disturbance within an area defined by a 20 foot radius from the tree's stem.
3. Greater than 25% damage to the live crown of the residual tree.

IX. ADDITIONAL SALE RELATED WORK

The following work shall be performed by the Contractor at its cost and expense:

Joe Road Tornado Clearcut - Site Preparation and Tree Planting

In the clearcut portion of Areas A and B in Stand D-37, the Contractor must windrow the tops of the harvested trees to prepare the site for tree planting. At least 80% of the tree tops/branches will be gathered and placed in row piles, oriented roughly east-west, perpendicular to Joe Road, or as otherwise directed by the DEC. The windrow piles shall not exceed 6 feet in height unless otherwise allowed by the DEC. Spacing between the rows will be specified by the DEC depending upon equipment used by the Contractor. Gaps will be left in the middle of the windrows and along the east and west ends, as directed by the DEC, to allow for movement across the area.

The Contractor will be responsible for replanting the clearcut portion of stand D-37 which will have approximately 9 acres of planting area after the slash has been placed into windrows. This area will be replanted with trees as listed in the following table.

Species to Plant	Number of Seedlings in Area A	Number of Seedlings in Area B	Total Number of Seedlings
Red oak	300	200	500
White pine	900	600	1,500
Norway spruce	3,300	2,200	5,500
Total	4,500	3,000	7,500

The red oak seedlings will be scattered throughout the planting area, preferably in somewhat protected areas near slash piles. The white pine and Norway spruce will be planted in alternating bands across the site.

All tree seedlings will be supplied by NYSDEC, however, planting them will be the responsibility of the Contractor. The planting must be done in the spring following the completion of harvest for the replant areas. If harvesting is not completed in one year, that portion which has been harvested must be planted in the spring of the following year. If trees are ordered at the direction of the Contractor, and they are not planted that year, the Contractor will be responsible for purchasing those trees to plant the following year.

The tree planting will be done under the direction of the DEC forester and must meet DEC quality standards. All seedlings will be planted on approximately 7-foot by 7-foot spacing. The trees must be planted plumb or nearly so, at a depth as deep but no more than ½ inch deeper than the soil line showing on the seedling. They must be planted firmly and without air pockets. Throughout the planting operation, including transportation and storage of the seedlings, the Contractor must keep the trees protected from exposure to heating and drying. The roots of the seedlings must be kept moist at all times. All planting will be done to the satisfaction of the DEC. All tree planting must be completed by May 15th of the planting year..

X. RARE, ENDANGERED, THREATENED OR SPECIAL CONCERN SPECIES

The DEC reserves the right to implement temporary restrictions on harvesting activities to protect previously unknown occurrences of rare, endangered, threatened or special concern species found within or near the sale area.

XI. OSHA and SAFETY RELATED TERMS

OSHA regulations relating to safety and safety equipment must be followed at all times by the Contractor.

Non-designated dead or hazardous trees may be cut, pushed or pulled down when necessary to comply with OSHA regulations, but must be left on the site next to the stump and may not be utilized by the Contractor. In this situation, when the Contractor cuts a non-designated tree, the Contractor must notify the DEC within one business day. When the Contractor identifies a hazard tree which is too dangerous to cut or work around and will impact the completion of the contract, the Contractor must notify the DEC. If the hazardous situation cannot be resolved or mitigated, the DEC (upon consultation with the Contractor) will decide on an acceptable solution.

No person under the age of 18 shall be allowed within 100 feet of the sale area during harvesting operations unless they are part of a registered apprenticeship program, or enrolled in a recognized vocational training program or an approved on-the-job training program, or have completed such a program, and be at least 16 years old. Sale area shall be defined as the area identified on the sketch map developed for this sale and shall include the area marked for harvest, roads and trails accessing it, and the log landing.

XII. PERSONAL PROTECTIVE EQUIPMENT FOR CHAINSAW USERS

Any person operating a chainsaw for any purpose while undertaking the activities authorized by this contract are required to wear Personal Protective Equipment (PPE),

including at least the following: hard hat, eye protection, hearing protection, and cut-resistant chaps or pants. Additionally, the chainsaw must be equipped with properly functioning safety devices, including, at minimum, a properly functioning chain brake.

XIII. LOGGER TRAINING

Any person who will perform any duties related to the felling, handling and removal of trees under this contract, hereunder referred to as “worker,” regardless of whether they are an employee or subcontractor of the Contractor, shall maintain current (non-expired) certification under a Sustainable Forestry Initiative State Implementation Committee (SFI SIC) approved training program or equivalent program which includes a continuing education component, for the duration of the timber sale contract. Examples of acceptable training programs include, but are not limited to, the following:

- New York Logger Training (NYLT)
- Pennsylvania SFI Professional Timber Harvester Training Program
- Vermont Logger Education to Advance Professionalism (LEAP)
- Massachusetts Department of Conservation and Recreation Harvester License
- Maine Certified Logging Professional Program
- New Hampshire Professional Loggers
- Maryland/Delaware Master Logger Program
- Ohio Master Logger Certification

The DEC reserves the right to evaluate additional certification, licensing or training programs to determine whether they are comparable to the minimum standards for the above-listed programs. Evaluation and final determination for such programs shall be conducted by the Chief of Bureau of Forest Resource Management (BFRM) or the BFRM’s State Forests Section Leader.

Prior to starting any timber sale tasks related to the felling, handling and removal of trees, the Contractor shall provide to the DEC a list of all worker names and certification or license numbers (TLC number or equivalent) or a copy of the worker’s logger training certificate (TLC certificate or equivalent) of any worker who will perform any duties related to the felling, handling and removal of trees.

If a worker’s certification, licensing or training expires during the contract period that worker shall not perform any timber sale tasks related to the felling, handling and removal of trees until proof of current certification, licensing or training is provided to the DEC.

Duties related to the felling, handling and removal of trees shall include but are not limited to the use of chainsaws, mechanized felling and harvesting equipment, skidding and forwarding, slashing, chipping and stacking of forest products, or any other method of felling, handling or removing trees.

Workers who are not certified by an SFI SIC approved training program (or equivalent) may perform duties related to the felling, handling and removal of trees as long as the Contractor, in good faith, assures the DEC that the non-certified worker is actively pursuing certification by an SFI SIC approved training program (or equivalent). A contractor certified by an SFI SIC approved training program (or equivalent) may only supervise one non-certified worker (1:1 ratio) at any given period during the life of this contract. The Contractor

shall inform the DEC when the worker receives certification by an SFI SIC approved training program (or equivalent) and provide proof of certification upon request.

Truck drivers and loader operators are not required to be certified if their only duties are the loading of forest products onto the truck and/or transportation of forest products from the harvesting site.

XIV. FLUID LEAK CONTROL

The Contractor will be responsible for the control and collection of fluids leaking from any equipment used on the site. The Contractor must have a spill containment and cleanup kit appropriate for the equipment being used. At a minimum, the kit will contain: plugs and clamps to control hydraulic line breaks, a container to collect leaking fluids, fluid absorbent pads and a shovel. Operating any equipment noticeably leaking fluids is prohibited. The Contractor must take action to collect and control fluids leaking from inactive equipment or equipment being maintained or repaired on-site. The collected fluids must then be reused or properly disposed of. For additional information regarding the handling of hazardous materials please refer to page 28-29 of the New York State Forestry BMP Field Guide – 2018 Edition.

For more information please reference the DEC Spills webpage <https://dec.ny.gov/environmental-protection/site-cleanup/chemical-petroleum-spills> and the Spills Hotline number 1-800-457-7362.

All petroleum spills that occur within New York State (NYS) must be reported to the Spills Hotline within 2 hours of discovery, except spills which meet **all of the following criteria:**

1. The quantity is known to be less than 5 gallons; **and**
2. The spill is contained and under the control of the spiller; **and**
3. The spill has not and will not reach the State's water or any land; **and**
4. The spill is cleaned up with 2 hours of discovery

XV. DIESEL EMISSIONS REDUCTION ACT 2006

In 2007, New York State passed legislation establishing the Diesel Emissions Reduction Act 2006 (DERA.) This Act amended the Environmental Conservation Law (ECL) by adding Section 19-0323 which requires the use of best available retrofit technology (BART) and ultra low sulfur diesel fuel (ULSD) for heavy duty vehicles owned or operated by, including on behalf of, state agencies and state or regional public authorities. The Department has promulgated regulations (6 NYCRR Part 248) to provide guidance on provisions of the law. The regulations may be found on the Department's website at <https://dec.ny.gov/regulatory/regulations/chapter-iii>.

The successful bidder must comply with the specifications and provisions of ECL Section 19-0323 and NYCRR Part 248, which require the use of BART and ULSD, unless specifically waived by the Department. Qualifications for a waiver under this law are the responsibility of the successful bidder.

XVI. SEXUAL HARASSMENT PREVENTION CERTIFICATION

State Finance Law §139-l requires bidders on state procurements to certify that they have a written policy addressing sexual harassment prevention in the workplace and provide annual sexual harassment training (that meets the minimum requirements of section two hundred one-g of the NYS Labor Law and Department of Labor's model policy and training

standards) to all its employees.

Where competitive bidding is required pursuant to statute, rule or regulation, every bid made to the state or any public department or agency of the state must contain the following statement:

“By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that the bidder has and has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all of its employees. Such policy shall, at a minimum, meet the requirements of section two hundred one-g of the labor law.”

Bids that do not contain the certification will not be considered for award; provided however, that if the bidder cannot make the certification, the bidder shall provide a signed statement with their bid detailing the reasons why the certification cannot be made. After review and consideration of such statement, the DEC may reject the bid or may decide that there are sufficient reasons to accept the bid without such certification.

Bidders are required to sign and submit the attached Sexual Harassment Prevention Certification form. If the bidder cannot make the certification, then a signed statement must be submitted with the bid detailing the reasons why the certification cannot be made.

XVII: EXECUTIVE ORDER No. 16

Executive Order No. 16 provides that “all Affected State Entities are directed to refrain from entering into any new contract or renewing any existing contract with an entity conducting business operations in Russia.” The complete text of Executive Order No. 16 can be found at <https://www.governor.ny.gov/executive-order/no-16-prohibiting-state-agencies-and-authorities-contracting-businesses-conducting>. The Executive Order remains in effect while sanctions against Russia imposed by the federal government are in effect. Accordingly, vendors who may be excluded from award because of current business operations in Russia are nevertheless encouraged to respond to solicitations to preserve their contracting opportunities in case the sanctions are lifted during a solicitation or even after award in the case of some solicitations. As defined in Executive Order No. 16, an “entity conducting business operations in Russia” means an institution or company, wherever located, conducting any commercial activity in Russia or transacting business with the Russian Government or with commercial entities headquartered in Russia or with their principal place of business in Russia in the form of contracting, sales, purchasing, investment, or any business partnership. Vendors responding to this solicitation are required to complete and submit the form entitled “Certification Under Executive Order No. 16 Prohibiting State Agencies and Authorities from Contracting with Businesses Conducting Business in Russia”.

XVIII. TERMS OF BIDDING

Proposal blanks and any other information relative to this proposed sale may be obtained from **Andrew Blum**, Forester 1, NYS DEC, 2715 State Highway 80, Sherburne, New York 13460-4507, telephone (607) 674-4017, ext. 613, or by email at andrew.blum@dec.ny.gov or online at <https://dec.ny.gov/nature/forests-trees/state-forests/timber-sales> or by contacting the NYS DEC, Attn: State Timber Sales, 625 Broadway, 5th Floor, Albany, New York 12233-4255.

Bids must be submitted via mail, delivery service, or in person. All bids must be submitted on the original *Bid Proposal* (Proposal) form supplied by the DEC for this specific sale and included with this Notice. Alternate forms of bidding, including photocopies, will not be accepted. All blanks on the Proposal form must be completely filled in. Amounts must be written out and also stated in figures. Alteration of the Proposal by which the terms are changed may cause rejection of the bid. *Please note that the bid deadline is for receipt of the bid at the address listed below, not for mailing or entrusting to a delivery service. The Department is not responsible for lost or late mailings. Late bids will be returned unopened. No electronic submissions will be accepted.*

The original *Bid Proposal* must be accompanied with a completed and signed original *Bidders/Proposers Certification form, Executive Order No. 16 Certification, and Sexual Harassment Prevention Certification form*. The original *Bid Proposal, Bidders/Proposers Certification form, Executive Order No. 16 Certification, Sexual Harassment Prevention Certification*, and bid deposit for this sale must be submitted in an envelope addressed to “**NYS DEC, Bureau of Procurements & Expenditures, 625 Broadway, 10th Floor, Albany, NY 12233-5025,**” and clearly showing only the following information:

<i>Bidders Name Here</i>	SEALED BID ENCLOSED	<i>Postage Here</i>
<i>Return Address Here</i>	<u>DO NOT OPEN</u>	
<p>NYS DEC Bureau of Procurements & Expenditures 625 Broadway, 10th Floor Albany, NY 12233-5025</p>		
<p>PROPOSAL: <u>X013451, Chenango 18</u> Bid Opening: <u>11:00 AM, October 17, 2024</u></p>		

Each proposal must be accompanied by a deposit of 10% of the amount bid in the form of check or money order drawn to the order of the “NYS Department of Environmental Conservation.” The DEC reserves the right to waive any technicalities concerning bids and also to reject any and all bids if the best interests of the State will be promoted thereby. Award to the successful bidder will be made within ten days after opening of bids. Bidders are asked to completely and legibly fill out the return address portion of the bid envelope. In the unlikely event that bids must be returned unopened, this will greatly facilitate their being sent back to bidders.

IMPORTANT NOTICE

The attached "BIDDER'S/PROPOSER'S CERTIFICATION" form, "SEXUAL HARASSMENT PREVENTION CERTIFICATION" form, and EXECUTIVE ORDER No. 16 CERTIFICATION must be completed, signed, and returned along with your bid proposal. Failure to include these forms may result in rejection of the bid.

In the event that two or more high bids are submitted in exactly the same amount, the bid that was received first by the DEC will be considered the apparent high bidder.

Notice of Sale documents, bid opening dates and bid results can be found on-line on the New York State Department of Environmental Conservation public web site address: <https://dec.ny.gov/nature/forests-trees/state-forests/timber-sales> and clicking on the NYS DEC Region where the timber sale is being offered. Bid results will be posted for 30 days beyond the bid opening date.

XIX. TERMS OF SALE

A. General

All monetary references are in U.S. dollars. All material will be paid for by the Contractor prior to the commencement of harvesting operations. The Contractor will execute and return the sales contract to “NYS DEC, Attn: State Timber Sales, 625 Broadway, 5th Floor, Albany, NY 12233-4255,” along with all other required documentation, within 30 calendar days of Notice of Award.

The successful bidder will not commence operations hereunder until payment according to the payment schedule is made, and a fully executed copy of the sales contract is received by him/her with authorization to proceed with removal of products.

The Contractor may subcontract for the performance of work pursuant to this Contract only with the prior written approval of the DEC. If the Contractor enters into subcontracts for the performance of work pursuant to this Contract, the Contractor remains liable for compliance with all the terms of this Contract. The Contractor shall take full responsibility for the acts and omissions of its subcontractors.

B. Vendor Responsibility

State procurement laws require that state agencies award contracts only to responsible Contractors. To fulfill this requirement, potential Contractors may be required to complete a Vendor Responsibility Questionnaire or otherwise provide additional information to assist the DEC in assessing responsibility.

- **General Responsibility:** The Contractor shall at all times during the Contract term remain responsible. The Contractor agrees, if requested by the Commissioner or his or her designee, to present evidence of its continuing legal authority to do business in New York State, integrity, experience, ability, prior performance, and organizational and financial capacity.
- **Suspension of Work (for Non-Responsibility):** The Commissioner or his or her designee, in his or her sole discretion, reserves the right to suspend any or all activities under this Contract, at any time, when he or she discovers information that calls into question the responsibility of the Contractor. In the event of such suspension, the Contractor will be given written notice outlining the particulars of such suspension. Upon issuance of such notice, the Contractor must comply with the terms of the suspension order. Contract activity may resume at such time as the Commissioner or his or her designee issues a written notice authorizing a resumption of performance under the Contract.
- **Termination for Non-Responsibility:** Upon written notice to the Contractor, and a reasonable opportunity to be heard with appropriate DEC officials or staff, the Contract may be terminated by the Commissioner or his or her designee at the

Contractor's expense where the Contractor is determined by the Commissioner or his or her designee to be non-responsible. In such event, the Commissioner or his or her designee may complete the contractual requirements in any manner he or she may deem advisable and pursue available legal or equitable remedies for breach.

- **Vendor Responsibility Questionnaire:** The DEC recommends that vendors file a required Vendor Responsibility Questionnaire online via the New York State VendRep System. To enroll in and use the New York State VendRep System, see the VendRep System Instructions available at http://www.osc.state.ny.us/vendrep/vendor_index.htm or go directly to the VendRep System online at <http://www.osc.state.ny.us/vendors/index.htm> .

Vendors must provide their New York State Identification Number when enrolling. To request assignment of a Vendor ID or for VendRep System assistance, contact the Office of the State Comptroller's Help Desk at 866-370-4672 or 518-408-4672 or by email at ciohelpdesk@osc.state.ny.us. Vendors opting to complete and submit a paper questionnaire can obtain the appropriate questionnaire from the VendRep website www.osc.state.ny.us/vendrep or may contact the Department of the Office of the State Comptroller's Help Desk for a copy of the paper form.

C. Payment Schedule

The Contractor will make payments according to the following schedule unless adjusted by the DEC:

- 1st Payment = 10% of purchase price previously paid as bid deposit.
- 2nd Payment = 50% of purchase price prior to commencement of harvesting operations in Area A.
- 3rd Payment = 40% of purchase price prior to the commencement of harvesting operations in Area B.

..

Regardless of harvesting activity completed or commenced, the Contractor shall make payments in the amount of **50%** of the purchase price before **October 15, 2026** and shall make payments in the amount of **100%** of the purchase price before **April 15, 2027**.

No payment other than the 10% bid deposit will be accepted until the Comptroller has approved the sales contract. Failure to execute and return the sales Contract to the *NYS DEC, Attn: State Timber Sales, 625 Broadway, 5th Floor, Albany, NY 12233-4255*, along with all other required documentation, within 30 calendar days of Notice of Award may result in forfeiture of the bid deposit and the awarding of this sale to the next highest bidder. Payments shall be in the form of check or money order made payable to the "**NYS Department of Environmental Conservation**" and submitted to *NYS DEC, Attn: State Timber Sales, 625 Broadway, 5th Floor, Albany, NY 12233-4255* before the date stipulated in the Payment Schedule.

D. Performance Bond

The executed contract must be accompanied by one of the following:

- a Surety bond
- a Cashiers/Certified check
- a Certificate of Deposit assigned to the Department of Environmental Conservation

- a Letter of Credit from a Federally chartered or State licensed financial institution in the amount of **\$7,500.00** for the faithful performance of the sales contract.

E. Notarizations

The following rules apply for any individual designated as "**Notary**" on all Department contracts:

- Any stockholder, director, officer, or employee of a corporation that is a party to the contract either as an individual OR as representative of the corporation may not take an acknowledgment /notarize.
- If the employee has an ownership interest in the company and a DIRECT monetary interest in the contract (their pay depends upon it and it alone) they may not take the acknowledgement /notarize. **An employee with no ownership interest in the company may notarize contract signatures.**

F. Insurance

The Contractor shall be required to procure, at their sole cost and expense, and shall maintain in force at all times during the term of any Contract resulting from this solicitation, policies of insurance as required herein. All insurance required herein shall be written by companies that have an A.M. Best Company rating of "A-," Class "VII" or better. In addition, companies writing insurance intended to comply with the requirements herein should be licensed or authorized by the New York State Department of Financial Services to issue insurance in the State of New York. The Department may, in its sole discretion, accept policies of insurance written by a non-authorized carrier or carriers when certificates and/or other policy documents are accompanied by a completed Excess Lines Association of New York (ELANY) affidavit or other documents demonstrating the company's strong financial rating. If, during the term of a policy, the carrier's A.M. Best rating falls below "A-," Class "VII," the insurance must be replaced, on or before the renewal date of the policy, with insurance that meets the requirements above.

The Contractor shall furnish to the DEC a certificate or certificates with the appropriate endorsements showing that it has complied with this Article. The insurance documentation shall provide that:

- Liability and protective liability insurance policies shall provide primary and non-contributory coverage to the DEC for any claims arising from the Contractor's Work under this contract, or as a result of the Contractor's activities. Insurance policies will not be accepted that:
 - remove or restrict blanket contractual liability located in the "insured contract" definition (as stated in Section V, Number 9, Item f in the ISO CGL policy) so as to limit coverage against claims that arise out of work; or
 - remove or modify the "insured contract" exception to the employer's liability exclusion; or

- do not cover the additional insured for claims involving injury to employees of the named insured or subcontractors.
- **The State of New York, NYS Department of Environmental Conservation, its officers, agents and employees**, with the address: “**NYS DEC, Attn: State Timber Sales, 625 Broadway, 5th Floor, Albany, NY 12233-4255,**” shall be listed as ***Certificate Holder*** on all liability insurance certificate(s), as “Additional Insureds” on endorsement(s), and on additional supporting documentation.
- In the “Description of Operation/Locations...” section, the **Contract Number and State Forest (X013451, Chenango 18) must** be referenced.
- All applicable insurance policies pertaining to this article shall include a **Waiver of Subrogation** in favor of the State of New York, Department of Environmental Conservation, and their officers, agents, and employees as additional insureds.
- Said Additional Insured coverage shall be in the form of an endorsement or specified by policy as blanket coverage per written contract.
- Policies shall not be changed or canceled until thirty (30) days prior written notice has been given to the DEC; as evidenced by an endorsement declarations page, or written commitment by Contractor.
- Insurance documentation shall disclose any deductible, self-insured retention, aggregate limit or any exclusion to the policy that materially changes the coverage required by the Contract.
- Endorsements in writing must be added to and made part of the insurance contract for the purpose of changing the original terms to reflect the revisions and additions as described. A copy of these endorsements must be provided to the DEC.
- Applicable insurance policy number(s) referenced on the ACORD form must be referenced in the supporting documentation requested by the DEC and supplied by the insurance company (e.g. endorsement page, declarations page, etc.).
- Worker’s Compensation and Disability Benefits certificates shall name the ***New York State Department of Environmental Conservation, with the address: NYS DEC, Attn: State Timber Sales, 625 Broadway, 5th Floor, Albany, NY 12233-4255,*** as entity requesting proof of coverage.

- This Contract shall be void and of no effect unless the Contractor procures the required insurance policies and maintains them until acceptance or completion of the work, whichever event is later. If at any time during the term of this contract the coverage provisions and limits of the policies required herein do not meet the provisions and limits set forth in the Contract or proof thereof is not provided to the DEC, the Contractor shall immediately cease Work on the Project. The Contractor shall not resume Work on the Project until authorized to do so by the DEC.
- Should the Contractor engage a subcontractor, the Contractor shall impose the insurance requirements of this document on the subcontractor. Contractor shall determine the required insurance types and limits, commensurate with the work of the Subcontractor. The Contractor will maintain the certificate or certificates and endorsements for all subcontractors hired as part of the Contractor's records.

The following types and amounts of insurance are required for this Contract:

1. Workers' Compensation:

For work to be performed in New York State, the Contractor shall provide and maintain full New York State coverage during the life of this contract for the benefit of such employees as are required to be covered by the New York State Workers' Compensation Law.

Evidence of Workers' Compensation and Employers Liability coverage must be provided on one of the following forms specified by the Chairman of the New York State Workers' Compensation Board:

FORM #	FORM TITLE
C-105.2	Certificate of Workers' Compensation Insurance (September 2007, or most current version)
U-26.3	State Insurance Fund Version of the C-105.2 form
SI-12/ GSI-105.2	Certificate of Workers' Compensation Self-Insurance
CE-200	Certificate of Attestation of Exemption (when Contractor meets the requirements.)

All forms are valid for one year from the date the form is signed/stamped, or until policy expiration, whichever is earlier.

Please note that ACORD forms are NOT acceptable proof of New York State Workers' Compensation Insurance coverage.

Additional information can be obtained at the Workers' Compensation website:
<http://www.wcb.ny.gov/content/main/Employers/Employers.jsp>

2. Disability Benefits:

For work to be performed in New York State, the Contractor shall provide and maintain coverage during the life of this contract for the benefit of such employees as are required to be covered by the New York State Disability Benefits Law. Any waiver of this requirement must be approved by the DEC and will only be granted in unique or unusual circumstances.

Evidence of Disability Benefits coverage must be provided on one of the following forms specified by the Chairman of the New York State Workers' Compensation Board:

FORM #	FORM TITLE
DB-120.1	Certificate of Insurance Coverage under the New York State Disability Benefits Law
DB-155	Certificate of Disability Self-Insurance
CE-200	Certificate of Attestation of Exemption (when Contractor meets the requirements.)

All forms are valid for one year from the date the form is signed/stamped, or until policy expiration, whichever is earlier.

Please note that ACORD forms are NOT acceptable proof of New York State Disability Benefits Insurance coverage.

Additional information can be obtained at the Workers' Compensation website:
<http://www.wcb.ny.gov/content/main/Employers/Employers.jsp>

3. Commercial General Liability Insurance:

Contractor shall provide and maintain Commercial General Liability Insurance (CGL) covering the liability of the Contractor for bodily injury, property damage, and personal/advertising injury arising from all work and operations under this contract. The limits under such policy shall not be less than the following:

- Each Occurrence limit – \$1,000,000
- General Aggregate – \$2,000,000

Coverage shall include, but not be limited to, the following:

- premises liability;
- independent contractors;
- blanket contractual liability, including tort liability of another assumed in a contract;
- defense and/or indemnification obligations, including obligations assumed under this contract;

- cross liability for additional insureds;
- products/completed operations for a term of no less than 3 years, commencing upon acceptance of the work, as required by the contract;
- explosion, collapse, and underground hazards;
- contractor means and methods; and
- Liability resulting from Section 240 or Section 241 of the New York State Labor Law.

Limits may be provided through a combination of primary and umbrella/excess liability policies.

For contracts valued at less than \$10,000.00, the Contractor may choose to arrange with the DEC to purchase an insurance permit that covers general liability insurance that meets the Commercial General Liability Insurance requirement. An annual \$5.00 premium shall be required on or before April 1 of each year the contract is in effect.

4. Business Automobile Liability:

Contractor shall provide and maintain Business Automobile Liability insurance covering liability arising out of the use of any registered motor vehicle in connection with the contract, including owned, leased, hired and non-owned vehicles. Such policy shall have a combined single limit for Bodily Injury and Property Damage of at least \$1,000,000.

If the Contractor does not own, lease or hire any registered motor vehicles or will not be using any vehicles in the performance of the contracted services, proof of Business Automobile Liability Insurance shall not be required for this Contract. However, Contractor will be required to execute a "*Business Automobile Liability Insurance Attestation*" form.

The Contractor shall assume full responsibility and liability that owners and operators of any registered motor vehicles to conduct work under this contract carry the same Business Automobile Liability Insurance of the kinds and amounts listed above. DEC reserves the right to request proof of the same.

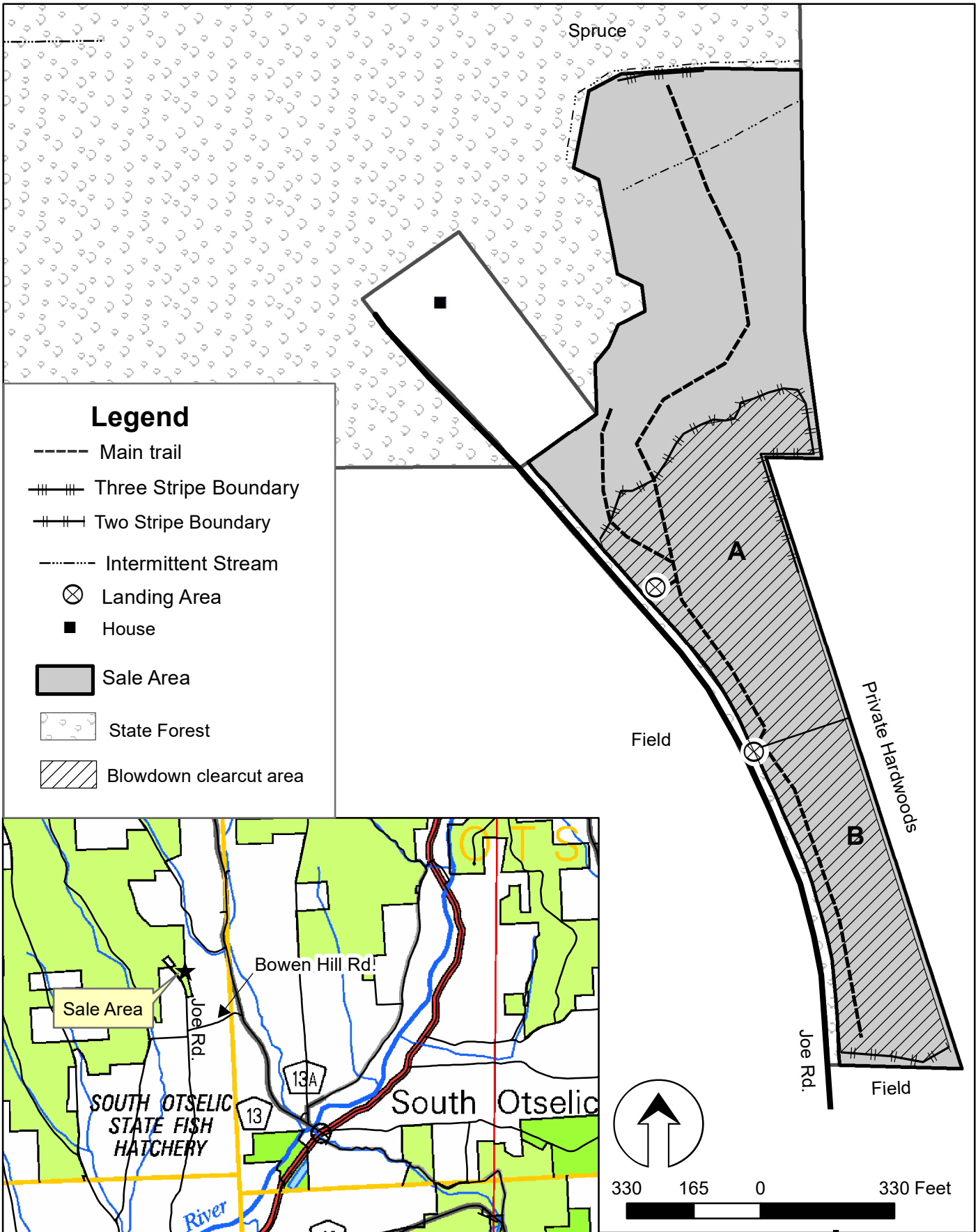
5. Umbrella and Excess Liability:

When the limits of the herein above required liability insurance policies procured are insufficient to meet the limits specified, the Contractor shall procure and maintain Commercial Umbrella and/or Excess Liability policies with limits in excess of the primary; provided, however, that the total amount of insurance coverage is at least equal to the requirements set forth above. Such policies shall follow the same form as the primary.

The Contractor shall require that any subcontractors hired, carry insurance with the same limits and provisions as provided herein.

Sean Mahar
Interim Commissioner

**Joe Road Norway Spruce Tornado Salvage
Chenango RA #18, Lincklaen State Forest, Stand D-37
Town of Lincklaen, Chenango Co. NY**





STATE OF NEW YORK DEPARTMENT OF ENVIRONMENTAL CONSERVATION SALE OF FOREST PRODUCTS PROPOSAL

I have examined the forest products offered for Public sale by the State of New York located on

Chenango Reforestation Area # 18, Lincklaen State Forest; Stand D-37

and hereby bid the following price in accordance with the terms of the advertisement, Notice of Sale and Contract:

_____ dollars and _____ cents \$ _____

(Minimum Acceptable Net Bid \$23,271.00 U.S.)

Enclosed is a 10% bid deposit of \$ _____

I understand that the forest products being offered for sale will be awarded to the highest responsible responsive bidder. If I am the successful bidder, I agree to abide by the terms of the Contract; to execute the Contract within 30 days of the notification of award, to pay the bid price in accordance with the payment schedule as specified in the advertisement, Notice of Sale and Contract, and to remove from State land by October 15, 2027 all timber therein designated.

** ALL ENTRIES MUST BE IN INK AND CLEARLY WRITTEN**

IMPORTANT NOTICE

The attached "Bidder's/Proposer's Certification" form must be completed, signed, and returned along with your Bid Proposal. Failure to include this form will result in disapproval of the bid.

CERTIFICATION

If you, or your firm, have been certified for and principles of the Forest Stewardship Council or the Sustainable Forestry Initiative, Please enter your certificate number here.

Certification No. _____ Date _____ Phone _____ E-Mail Address _____

Legal Business Name _____ If you use a DBA, please list DBA here _____ By _____ Title _____ Address _____ Signature _____ Company / Owner Federal Identification No. _____ Social Security Numbers should be used only if you do not have a Federal Identification Number

* PLEASE SEE OTHER SIDE

Contract #: X013451

Sexual Harassment Prevention Certification Form

By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that the bidder has and has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all of its employees. Such policy shall, at a minimum, meet the requirements of section two hundred one-g of the labor law.

Company Name: _____

Signature: _____

Print Name and Title: _____

Date: _____

If the above certification cannot be made, the bidder must submit a signed statement below detailing the reasons why the certification cannot be made.

Company Name: _____

Signature: _____

Print Name and Title: _____

Date: _____

Certification Under Executive Order No. 16 Prohibiting State Agencies and Authorities from Contracting with Businesses Conducting Business in Russia

Executive Order No. 16 provides that “all Affected State Entities are directed to refrain from entering into any new contract or renewing any existing contract with an entity conducting business operations in Russia.” The complete text of Executive Order No. 16 can be found [here](#).

The Executive Order remains in effect while sanctions imposed by the federal government are in effect. Accordingly, vendors who may be excluded from award because of current business operations in Russia are nevertheless encouraged to respond to solicitations to preserve their contracting opportunities in case the sanctions are lifted during a solicitation or even after award in the case of some solicitations.

As defined in Executive Order No. 16, an “entity conducting business operations in Russia” means an institution or company, wherever located, conducting any commercial activity in Russia or transacting business with the Russian Government or with commercial entities headquartered in Russia or with their principal place of business in Russia in the form of contracting, sales, purchasing, investment, or any business partnership.

Is Vendor an entity conducting business operations in Russia, as defined above? Please answer by checking one of the following boxes:

1. No, Vendor does not conduct business operations in Russia within the meaning of Executive Order No. 16.

- 2.a. Yes, Vendor conducts business operations in Russia within the meaning of Executive Order No. 16 but has taken steps to wind down business operations in Russia or is in the process of winding down business operations in Russia. (Please provide a detailed description of the wind down process and a schedule for completion.)

- 2.b. Yes, Vendor conducts business operations in Russia within the meaning of Executive Order No. 16 but only to the extent necessary to provide vital health and safety services within Russia or to comply with federal law, regulations, executive orders, or directives. (Please provide a detailed description of the services being provided or the relevant laws, regulations, etc.)

3. Yes, Vendor conducts business operations in Russia within the meaning of Executive Order No. 16.

The undersigned certifies under penalties of perjury that they are knowledgeable about the Vendor’s business and operations and that the answer provided herein is true to the best of their knowledge and belief.

Vendor Name: _____
(legal entity)

By: _____
(signature)

Name: _____

Title: _____

Date: _____

BIDDER'S/PROPOSER'S CERTIFICATION

NON-COLLUSIVE BIDDING

AND

NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND

MACBRIDE FAIR EMPLOYMENT PRINCIPLES

AND

STATE ETHICS LAW PROVISION

AND

PROCUREMENT LOBBYING LAW

BY SUBMISSION OF THIS BID/PROPOSAL AND BY SIGNING HEREUNDER THE BIDDER/PROPOSER, AND EACH PERSON SIGNING ON BEHALF OF SUCH PARTY CERTIFIES, AND IN THE CASE OF A JOINT BID/PROPOSAL, EACH PARTY THERETO CERTIFIES AS TO ITS OWN ORGANIZATION, UNDER PENALTY OF PERJURY, THAT TO THE BEST OF HIS/HER KNOWLEDGE AND BELIEF:

A. NON-COLLUSION State Finance Law §139-d

1. The prices in this bid/proposal have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder/proposer or with any competitor;

2. Unless otherwise required by law, the prices which have been quoted in this bid/proposal have not been knowingly disclosed by the bidder/proposer and will not knowingly be disclosed by the bidder/proposer prior to opening, directly or indirectly, to any other bidder/proposer or to any competitor; and

3. No attempt has been made or will be made by the bidder/proposer to induce any other person, partnership or corporation to submit or not to submit a bid/proposal for the purpose of restricting competition.

B. MACBRIDE FAIR EMPLOYMENT PRINCIPLES State Finance Law §165(5)

1. it or any individual or legal entity in which the bidder/proposer holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership in the bidder/proposer, either: (answer yes or no to one or both of the following, as applicable).

2. has business operations in Northern Ireland;

No Yes (check answer) **IF YES, COMPLETE #3**

3. shall take lawful steps in good faith to conduct any business operations that it has in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

No Yes (check answer)

C. STATE ETHICS LAW PROVISION

By submittal of this bid/proposal, the undersigned hereby certifies, for and on behalf of the bidder/proposer, that he is familiar with the following provisions of the State Ethics Law provisions applicable to post employment restrictions affecting former state employees: POL §73(8)(a)(i) the two year bar, and §73(8)(a)(ii), the life-time bar, and that submittal of this bid/proposal is not in violation of either provision, and that no violation will occur by entering into a contract or in performance of the contractual services, and further that the bidder/proposer recognizes that the Department may rely upon this certification

Except as follows: (attach information if needed)

(Bidder/Proposer is to make full disclosure of any circumstances which could affect its ability to perform in complete compliance with the cited laws. Any questions as to the applicability of these provisions should be addressed to the New York State Joint Commission on Public Ethics, 540 Broadway, Albany, New York 12207. Telephone Number: 518-408-3976.

D. PROCUREMENT LOBBYING AFFIRMATION

The Undersigned affirms that it understands and agrees to comply with the procedures of the New York State Department of Environmental Conservation relative to permissible contacts as required by State Finance Law §139-j (3) and §139-j (6) (b).

Date:

Print Name and Title:

Signature: _____